



Strasbaugh Financial Assessment Agreement

Appointment Fee: \$895

This Strasbaugh Financial Assessment includes:

Preparation:

- For tax review, send in last two years' tax returns and income year-to-date (including most recent paystub(s)).
- For investment review, send a list of all assets and liabilities (including most recent brokerage, bank, pension, and social security statements).
- Complete the Preliminary Personal Financial Planning Profile.
- Complete the Financial Satisfaction Survey.
- Prior to your meeting, Strasbaugh Financial Advisory, Inc. staff will:
 1. Review and analyze your prior years' taxes;
 2. Review and analyze your investments.

Homework:

We will send you a complimentary copy of Bert's book "Facing Financial Dysfunction: Why Smart People Do Stupid Things with Money" when you make your appointment.

Please identify your Money Personality is (chapter 3) and your stage in the Cambridge Financial Life Cycle (chapter 7) when you come to the appointment. This enables you to get full benefit from the Strasbaugh Financial Assessment appointment.

Specific meeting goals (List three primary financial questions you want answered):

You will leave the appointment with a written list of recommendations and specific options. You are then free to implement them yourself or through another financial advisor. There is no further obligation on your part or Strasbaugh Financial Advisory, Inc.'s part.

At the end of the two-hour appointment, an additional hour will be left to discuss retainer options for ongoing financial advice, if you are interested. The full amount paid for the Financial Assessment will be applied against the initial year's fee if you decide to become a Comprehensive Financial Planning client within ten days.

If you are considering becoming a Comprehensive Financial Planning client, this Strasbaugh Financial Assessment is an excellent starting point. Further appointments will be scheduled at the end of the Strasbaugh Financial Assessment, as needed.

No tax preparation or investment implementation is included in the Strasbaugh Financial Assessment. It is important to note that the Strasbaugh Financial Assessment is *not* a full financial plan. It is a brief overview of your financial progress and a review of limited aspects of your financial situation.

The Client hereby acknowledges prior receipt of a copy of the Disclosure Statement of the Advisor as same is set forth on Part II of Form ADV (Uniform Application for Investment Advisor Registration). Client further acknowledges that he has had a reasonable opportunity (i.e. at least 48 hours) to review said Disclosure Statement, and to discuss the contents of same with professionals of his choosing, prior to the execution of this Agreement. If the Client has not received a copy of the Advisor's Disclosure Statement at least 48 hours prior to execution of this Agreement, the Client shall have 5 business days from the date of execution of this Agreement to terminate Advisor's services without penalty.

Payment: One-half of the fee should be paid at the time the appointment is scheduled; the remainder is paid when you arrive for your appointment. We accept most credit cards. There are no refunds.

I have read the above and agree to the terms.

Printed Name

Printed Name

Signature

Signature

Date

Date

Please charge my credit card: Visa/Mastercard/Amex

Credit Card Number

Name on card: _____

Expiration Date: _____

Amount Paid: _____

Date: _____

Received By: _____